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PAT-010A

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In re the Application of:

Jonathan P. McIntosh

Serial No.: 10/043,868

Filed: November 1, 2001

For: AUTOMATED THIRD PARTY VERIFICATION SYSTEM

Group Art Unit: 2641

Examiner: Not Known

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**Technology** Center 2600

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

4-18-2003

Michele A. Zarinelli

Date of Deposit

	$\boxtimes$	However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
	This II	OS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office
		nerits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance § 1.311.
		The fee due under 37 CFR § 1.17(p) is submitted herewith.
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
☐ CFR §		OS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.
	ement un ted here	nder 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is with.
		STATEMENT UNDER 37 CFR § 1.97(e):
	Each it	em contained in this IDS was first cited in any communication from a foreign patent
office i	in a cou	nterpart foreign application not more than three months prior to the filing of this IDS.
	No iter	n contained in this IDS was cited in a communication from a foreign patent office in a
counte	rpart for	reign application, and, to the knowledge of the person signing this statement after
making	g reason	able inquiry, no item of information contained in this IDS was known to any
individ	lual desi	gnated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:				
	A check in the amount of	is enclosed for the above fee(s).		
	Please charge Deposit Account No. 50-1880 for the above fee(s).			
		Respectfully submitted, WEST CORPORATION		
Dated:	18 APR 03	By: Rocco L. Adornato Reg. No. 40,480		

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PATENT & TRADEMARK OFFICE

WEST CORPORATION 11808 Miracle Hills Drive Omaha, NE 68154

PTO/SB/08A (04-03)
Approved for use through 04/30/2003. OMB 0651-0031
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PAT-010A

Under the Paperwork Reduction Act of 1995, no persons pond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO APR 2 3 2003 Application Number 10/043,868 Filing Date November 1, 2001 INFORMATION DISCL'OSURE First Named Inventor Jonathan P. McIntosh STATEMENT BY APPLICANT Art Unit 2641 (Use as many sheets as necessary) **Examiner Name** Not Known

Attorney Docket Number

Sheet 1

Examiner	Cite No. <sup>1</sup>	Document Number Publication Date	T DOCUMENTS  Name of Patentee or	Pages, Columns, Lines, Where	
Initials*		Number-Kind Code <sup>2 (f known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AV	<sup>US-</sup> 6,094,476	07-25-2000	Peter Hunt, et al.	
	AW	<sup>US-</sup> 6,049,602	04-11-2000	Mark Jeffrey Foladare, et al.	
	AX	<sup>US-</sup> 6,119,084	09-12-2000	Tracy Roberts, et al	
	AY	<sup>US-</sup> 6,266,640 B1	07-24-2001	Laurence J. Fromm	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY			
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Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language. Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.